

**Request for Qualifications**  
Professional Financial Advisor Services

Wellborn Special Utility District

**SECTION 1**  
**Introduction and General Information**

Wellborn Special Utility District (WSUD) is soliciting statements of qualifications from individuals and firms licensed in the State of Texas and qualified to provide Financial Advisor services relating to the funding of capital projects through the issuance of bonds by the Texas Water Development Board Drinking Water State Revolving Fund (TWDB DWSRF).

WSUD is a political subdivision of the State of Texas providing drinking water service to about 9,000 connections on the South and West sides of College Station and North and West of Bryan in Brazos and Robertson Counties. The offices of the District are located in Wellborn, Texas. The District currently operates one surface water treatment plant, eight water wells, multiple pump stations and five elevated storage facilities.

This contract is subject to the Environmental Protection Agency's (EPA) Disadvantaged Business Enterprise (DBE) Program, which includes EPA-approved fair share goals toward procurement of Minority and Women-owned Business Enterprise (M/WBE) businesses. EPA rules require that applicants and prime contractors make a good faith effort to award a fair share of contracts, subcontracts, and procurements to M/WBEs through demonstration of the six affirmative steps. For more details of the DBE Program and the current, applicable fair share goals, please visit [www.twdb.texas.gov/dbe](http://www.twdb.texas.gov/dbe).

**SECTION 2**  
**Submission Requirements**

A written Statement of Qualifications (SOQ) shall be marked, Ref# 2020FA-10, Statement of Qualification. The deadline for submission of the complete SOQ is November 30, 2020, 4 p.m. local time. It shall not be sufficient to show that the SOQ was mailed in time to be received before the deadline. The SOQ submittals shall be directed to the following:

Stephen Cast  
General Manager  
Wellborn Special Utility District  
P. O. Box 250  
Wellborn, Texas 77881

Provide 1 original, 4 copies, and an electronic copy of your SOQ submittal. The review, evaluation, and award process could take up to 60 days to complete. The selected firm will provide their professional service for the duration of the project.

**Submittal Format:**

The SOQ shall include the following information at a minimum with a maximum of 20 pages and be signed by a representative of the firm with authorization to sign contracts:

1. Full name and business address.
2. Qualifications and experience requested within this document.
3. Proof of Certification/Licensing regarding all requirements by the State of Texas, EPA and TCEQ for such services.
4. DBE certifications, where applicable.
5. Firm introduction including number of licensed professionals employed, an organizational chart for the project, and office location you propose to service our project.
6. A listing of at least five water entities served by the applicant as references.
7. A list of at least five water projects worked on in the past ten years including a brief description of the project and unique attributes, services provided, and project cost.
8. Documentation that insurance for professional liability/malpractice coverage with limits as to liability or any other insurance required by the State of Texas, EPA and TCEQ for such services.
9. Resumes of all personnel anticipated to be assigned to the project with a description of each individual's qualifications including education, licensure and years of professional experience.

### **SECTION 3 Scope of Services**

The intent of this solicitation is to identify one or more firms or individuals desiring to provide legal services for a specific project. Services may include, but are not limited to, the following:

- Analyze options for funding large utility infrastructure projects.
- Analyze long term financial health of Special Utility District.
- Communicate with staff and Directors concerning debt issuance.
- Coordinate with TWDB and other state and federal agencies necessary for the issuance of debt.
- Coordinate with Bond Council, Engineer, and others necessary for successful funding of project.

The firm must be certified to provide Financial Advisor services in the State of Texas and have a minimum of ten years of experience in providing such services to municipalities or water districts. The firm must also be experienced with the issuance of bonds on projects funded by the Texas Water Development Board, and specifically relating to the Drinking Water State Revolving Fund (DWSRF).

### **SECTION 4 Selection and Award Process**

#### **Award Process**

Statements of qualifications will be evaluated by a selection committee. A short list of firms deemed to be the most highly qualified will be identified by the selection committee. These

firms may be invited to participate in an oral presentation and interview to determine which is best qualified to work with WSUD. Wellborn SUD may request additional information prior to the interviews. Such requests will be made at least fourteen calendar days prior to the interview. All expenses related to any presentation or demonstrations are the responsibility of the offering company.

Wellborn SUD reserves the right to obtain clarification of any point in the firms SOQ or to obtain additional information necessary to properly evaluate a particular SOQ. Failure of the firm to respond to such a request for additional information may result in rejection of the firm's SOQ.

The selection committee may use sources of information not supplied by the firm concerning the ability to perform these services. Such sources may include current or past clients of the firm; current or past associates; and other sources, as available. However, the District is under no obligation to obtain any additional information other than that requested to be submitted with this proposal.

The recommendation of the selection committee will be subject to a successful contract negotiation and approval of the Board of Directors. If an agreement cannot be made, WSUD reserves the right to negotiate with other qualified firms. WSUD shall award all professional service contracts based on qualification, merit, and cost competitiveness.

### **Selection Criteria**

Finalists for contract consideration by WSUD will be selected on the basis of qualifications and demonstrated competence as demonstrated by the information presented in the SOQ.

Evaluation of qualifications shall include, but are not limited to the following:

1. Thorough understanding of the rural water utility environment and qualification to complete the services required.
2. Previous experience, qualifications and competence on TWDB projects.
3. Previous experience with state and federally funded projects.
4. Experience in investigating and projecting financial health for municipalities, utilities, or water districts.
5. Knowledge of state and local, and federal requirements.
6. Ability to communicate with the selection committee in both written format and verbally.
7. Record for completing tasks on time and within budget.